



## CONTACTS AND NOTIFICATIONS

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**Participant Name:**

**Authorised Signatory:** \_\_\_\_\_

**Date:**

### **Primary Contacts & Authorised Personnel<sup>1</sup>**

The purpose of this form is to provide contact details from an operational perspective plus relevant contacts for notifications under Cboe agreements. It is your responsibility to submit a revised form when contacts require updating.

#### **Primary Contacts**

The Participant Application Form requested a primary point of contact for core functions such as trading, IT, compliance etc and to provide an alternate for each of those people. These primary points of contact are for material issues from a market operations perspective. For example, one of the Primary Trading Contacts will be required to request a trade cancellation.

#### **Authorised Personnel**

For day to day operational matters, Participants are asked to confirm below the contact details of staff that Cboe will treat as Authorised Personnel within each of the following functions:

- ❖ Trading
- ❖ Technology
- ❖ Compliance
- ❖ Back-office

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<sup>1</sup> Cboe will collect, retain and process any personal information in accordance with the *Privacy Act 1988 (Cth)* and Cboe's privacy policy as set out on [www.cboe.com.au](http://www.cboe.com.au).



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<b>Name</b> _____	<b>Function*</b> _____
<b>Title</b> _____	<b>Office phone:</b> _____
<b>Email</b> _____	<b>Mobile phone:</b> _____



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<b>Email</b> _____	<b>Mobile phone:</b> _____

\*Function = Trading, Technology, Compliance, Back-office

### **Customer Contact Details for notifications under Cboe agreements**

Please provide the contact details for receiving notifications under Cboe agreements, such as notices in connection with the Product Manual, Payment Schedule, product suspension, modification, error or interruption. It is recommended that these contact details include a generic email address.

<b>Name</b> _____	<b>Function*</b> _____
<b>Title</b> _____	<b>Office phone:</b> _____
<b>Email</b> _____	<b>Mobile phone:</b> _____

<b>Name</b> _____	<b>Function*</b> _____
<b>Title</b> _____	<b>Office phone:</b> _____
<b>Email</b> _____	<b>Mobile phone:</b> _____



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### Customer Address for Legal Notices

Please provide the contact details for receiving legal notices under Cboe agreements.

<b>Att</b>	_____
<b>Email</b>	_____
<b>Office phone:</b>	_____
<b>Address:</b>	_____

### Cboe Address for Legal Notices

<b>Att</b>	<b>Legal &amp; Compliance</b>
<b>Email</b>	<a href="mailto:apac.legal@cboe.com">apac.legal@cboe.com</a>
<b>Office phone:</b>	<b>+61 2 8078 1700</b>
<b>Address:</b>	<b>Level 23, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000</b>

Please direct any questions regarding the above information to Market Operations at [au.support@cboe.com](mailto:au.support@cboe.com) or +61 2 8078 1701.