

31 January 2022

The following frequently asked questions (FAQ) are in relation to the rebranding of Chi-X Australia to Cboe Australia.

1. What are the changes from 1 February 2022?

Chi-X Australia is rebranding to Cboe Australia including,

- Company name change, from Chi-X Australia Pty Ltd to Cboe Australia Pty Ltd
- Domain change, from www.chi-x.com.au to www.cboe.com.au
- Email address changes, from e.g. au.support@chi-x.com to au.support@cboe.com and joan.smith@chi-x.com to jsmith@cboe.com
- Logo/branding change, from Chi-X to Cboe
- Website, documents and materials updated to reflect the new company name, domain, emails and logo/branding.

Redirects will be in place to ensure those using the previous domain and email addresses are redirected to the new domain and email addresses.

2. Is the Chi-X market changing?

As part of the February 2022 rebranding, there is no change to the structure, activities, technology or operation of the Chi-X market.

The entity that holds the market licence, operates the market and contracts with participants, issuers and customers is not changing, it is only changing its company name from Chi-X Australia Pty Ltd to Cboe Australia Pty Ltd. The existing ACN/ABN, bank accounts etc. all remain the same.

In keeping with the company name change, the name of the market is changing from the 'Chi-X Australia market' to the 'Cboe Australia market'.

The Operating Rules and Operating Rules Procedures will be updated to reflect the new name, domain, emails and logo/branding and record the name change in the introduction. A notice will be issued advising of this.

3. What do I need to do if I am a trading participant, product issuer or customer of Chi-X Australia Pty Ltd?

We ask that at the next available opportunity **after** 1 February 2022 you replace references in your materials to reflect our new name, domain, email addresses and logo/branding. (E.g. marketing materials, pitch documents, websites, PDSs, Best Execution Policies etc.)

For updating materials please see the response to Q5.

4. How is Cboe pronounced?

"SEE-boh"

5. How do I update my materials to reference Cboe Australia?

At the next available opportunity **after** 1 February 2022 replace references in your materials,

- Chi-X Australia Pty Ltd > Cboe Australia Pty Ltd*
- Chi-X Australia > Cboe Australia*
- Chi-X Australia market > Cboe Australia market*
- www.chi-x.com.au > www.cboe.com.au
- Email address changes, e.g. au.support@chi-x.com > au.support@cboe.com

The word Cboe is title-cased and is not an acronym.

*There will continue to be references to Chi-X Australia in various materials, including the Market Integrity Rules, legislation and various instruments for a period of time until these are updated. Accordingly, for a transitional period, firms may choose to refer to 'Cboe Australia (formerly known as Chi-X Australia)' or the 'Cboe Australia market (formerly known as the Chi-X Australia market)'.

As the legal entity does not change, references to 'Chi-X' and the 'Chi-X Australia market' may be read interchangeably with 'Cboe' and the 'Cboe Australia market'.

Cboe Global Markets is the umbrella term for the global business. For more information visit cboe.com.

Please reach out to your Chi-X contacts if you have more specific questions about updating materials.

6. Where can I get a copy of the new logo?

Please contact: au.marketing@cboe.com

7. Is the technology used for the operation of the market changing?

No. As part of the February 2022 rebranding there is no change to the technology to operate the market. There is no change to the:

- the Chi-X Trading System (CTS);
- general trading infrastructure and hardware technology including data centre connectivity arrangements;
- the technology used to monitor the market.

There will be new domains and email addresses and there will be redirects in place.

8. If I am a trading participant of 'Chi-X Australia', do I become a trading participant of 'Cboe Australia'?

Yes. The market known as the 'Chi-X Australia market' will now be known as the 'Cboe Australia market'. However

there will continue to be references to the 'Chi-X Australia market' including in the Market Integrity Rules.

See the response to Q2 and Q5.

9. Are the Chi-X Operating Rules and Chi-X Operating Rules Procedures changing?

The Operating Rules and Operating Rules Procedures will be updated to reflect the new name, domain, emails and logo/branding and reflect the name change in the introduction. A notice will be issued advising of this.

10. Does anything need to be changed on the AOP?

No action required.

11. Are there any technical changes?

No. As part of the February 2022, rebranding there are no technical changes. All API messages and content remain the same including MIC codes and reference data filenames.

There will be a change to the content of the reference data file "CHIXIPL" (which lists Indices available on Chi-X / Cboe Australia systems) which will include records for "Cboe Australia" rather than "Chi-X Australia" from 1 February 2022.

12. Is there any change to issuer Chi-X symbols?

All Chi-X symbols and Issuer codes for Chi-X quoted symbols remain the same.

13. Will the old Chi-X domain and email addresses continue to work?

Yes, they will redirect to the new domain and email addresses but we encourage firms to update to the new domain and email addresses and not rely on the redirects for an extended period.

14. Do I need to update links to webpages like the Chi-X Warrants booklet etc.?

Redirects are in place for all links from chi-x.com.au to cboe.com.au. No action required

15. Is there a change to the process for issuer announcements?

No. The process for issuer announcements will not change. The email address will change to au.announcements@cboe.com. See Q13 for email redirects.

The Disclosure & Notification Summary is being updated to reflect the new email addresses and will be emailed to issuer contacts.

16. If I am taking data from the website are there any changes?

The market data snapshots and reference data available from the website remains unchanged.

17. Do I need to sign a new contract with Cboe Australia Pty Ltd or novate my existing contract to Cboe Australia Pty Ltd?

No. The company name is changing but the legal entity is not changing (e.g. see the ACN remains the same). There is no new entity and no need to sign a new contract or novate to a new entity.

18. If participants/vendors/issuers have contracts with service providers that reference Chi-X do they need to be updated?

This is a decision for the firm itself. See response to Q17 and Q5.

19. When and how do I need to update my PDS and do I need to make a specific announcement about the rebranding?

Chi-X does not require issuers to update their PDS just for this change. See response to Q3 and Q5.

It may be that issuers wait until their next PDS roll to make this change. Issuers may wish to make a specific announcement regarding the Chi-X rebranding soon after 1 February and/or at the time they update their PDS. This is a decision for the firm itself.

20. When and how do I need to update my best execution policy?

See response to Q3 and Q5.

21. Will your bank accounts remain the same?

The existing Bank Account, BSB and Swift numbers will remain the same, the account names will be updated to Cboe Australia Pty Ltd.